



OADBY AND WIGSTON BOROUGH COUNCIL

STANDARDS AND ETHICAL INDICATORS

QUARTER 1 REPORT

2021/2022

1. Introduction

This is the quarterly report to the Policy Finance and Development Committee detailing both the figures for the Ethical Indicators and the figures for the Local Determination of Complaints process for 2021/2022.

For clarification purposes the months covered by the quarters are as follows:

Quarter 1 – 1 April to 30 June
Quarter 2 – 1 July to 30 September
Quarter 3 – 1 October to 31 December
Quarter 4 – 1 January to 31 March

The report is split into two parts for ease of reference; Part 1 refers to the local determination of complaints, part 2 is the table showing the ethical indicators figures.

The report will enable the Policy Finance and Development Committee to build up a picture over time of how many complaints are received and where these are coming from. The parts of the Code of Conduct which have been breached will also be recorded to enable training to be targeted effectively.

2. Part 1 – Local Determination of Complaints

The Monitoring Officer received No complaints in Quarter 1 of 2021/2022.

2.1 Source of Complaint

Not applicable

2.2 Assessment Sub-committee Decisions

There have been No Assessment Sub-committee meetings in this quarter.

2.3 Timeliness of Decision

The Standards for England Guidance stated that the Assessment Sub-committee should complete its initial assessment of an allegation “within an average of 20 working days” to reach a decision on what should happen with the complaint. The Council has taken this standard and adapted it under the new rules to aim to hold an Assessment Sub-committee within 20 working days of notifying the parties that informal resolution is not possible.

2.4 Review Requests

There have been no review requests in this quarter. Review requests can only be made following a decision of ‘No further Action’ by the Assessment Sub-committee where there is submission of new evidence or information by the complainant.

2.5 Subsequent Referrals

None

2.6 Outcome of Investigations

There were no formal investigations concluded in this period.

2.7 Parts of the Code Breached

This section is intended to show where there are patterns forming to enable the Policy Finance and Development Committee to determine where there needs to be further training for Councillors. Targeting training in this way makes it more sustainable and, hopefully, more effective.

So far this year, the following areas of the code were found to have been breached:

Not applicable

Ref	Performance Indicator Description	Officer responsible for providing information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
LG1	Objections to the Council's Accounts	Head of Finance	0	0	0		0		0	
LG2	Follow up Action relating to reaches of the Member/Officer Protocol (Members)	Head of Law & Democracy	0	0	0		0		0	
LG3	Disciplinary Action relating to breaches of the Member / Officer Protocol (Staff)	HR Manager	0	0	0		0		0	
LG4	Number of Whistle Blowing Incidents Reported	Head of Law & Democracy	0	0	0		0		0	
LG5	No. of Recommendations made to improve Governance Procedures/Policies		0	0	0		0		0	
LG6	No. of Recommendations Implemented		0	0	0		0		0	

Corporate Complaints

Ref	Performance Indicator Description	Officer responsible for providing information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
LG7	No. Corporate Complaints received	PA to Senior Leadership Team	19	37	15		29		43	
	No. Corporate Complaints escalated to L2		3	7	1		4		2	
	No. Corporate Complaints escalated to Ombudsman		1	1*	0		0		0	
LG7a	No. Corporate Complaints Resolved at L1		19	30	14		29			
	No. Corporate Complaints Resolved at L2		3	36	1		4		41	
LG7b	No. Corporate Complaints where compensation paid		0	0	0		0		2	
	Service Area								0	
LG8	No. Ombudsman complaints received		0	1*	1		1		0	
	Service Area			Housing	Comms		Housing			
LG8a	No. Ombudsman complaints resolved		1	0	1		1		0	
LG8b	No. Ombudsman complaints not yet determined by the Ombudsman	1	1	0		0		0		
LG8c	No. Ombudsman complaints where compensation paid	1	0	0		0		0		

* Escalated to the Housing Ombudsman

Freedom of Information Act Indicators

Ref	Performance Indicator Description	Officer responsible for providing information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2019/2020	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
LG9a	No. of FOI Requests Compliant	Data Protection Officer	88	113	127		135		163	
LG9b	No. of Non-compliant FOI Requests		32	19	27		27		24	
LG9c	No. of FOI Requests still open and within the 20 working days		0	0	0		5		0	
LG9d	No. of FOI Requests withheld due to exemptions/fees applied		4	7	3		2		2	

Regulation of Investigatory Powers Act Indicators

Ref.	Performance Indicator Description	Officer Responsible for Providing Information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
LG10	No. of Directed Surveillance Authorisations granted during the quarter	Head of Law & Democracy	0	0	0		0		0	
LG10a	No. in force at the end of the quarter		0	0	0		0		0	
LG10b	No. of CHIS recruited during the quarter		0	0	0		0		0	
LG10c	No. ceased to be used during the quarter		0	0	0		0		0	
LG10d	No. active at the end of the quarter		0	0	0		0		0	
LG10e	No. of breaches (particularly unauthorised surveillance)		0	0	0		0		0	
LG10f	No. of applications submitted to obtain communications data which were rejected		0	0	0		0		0	
LG10g	No. of Notices requiring disclosure of communications data		0	0	0		0		0	
LG10h	No. of authorisations for conduct to acquire communications data		0	0	0		0		0	
LG10i	No. of recordable errors		0	0	0		0		0	

Anti-Social Behaviour Indicators

Ref.	Performance Indicator Description	Officer responsible for providing information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
	No. of Complaints Registered	Head of Community & Wellbeing	31	31	31		11		35	
	No. of Disposals		13	10	13		3		1	
	No. of Complaints still Open		4	0	4		0		0	
	No Further Action (where suspect identified)		3	2	3		1		3*	
	No Further Action (no suspect identified)		11	19	11		7		32	

* One reported incident resulted in 2 suspects being identified

Food Safety Inspections

Ref.	Performance Indicator Description	Officer responsible for providing information	Q1		Q2		Q3		Q4	
			2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022	2020/2021	2021/2022
BPE31	No. of Programmed Inspections	Head of Law & Democracy	46	56	43		24		101*	
	No. of Programmed Inspections Completed		4	14 2*	4		4		3	
	No. of Programmed Inspections Outstanding		42	13*	*81		*101		94**	

- Following, The Food Standard Agency latest guidance on routine food inspections, we have prioritised inspections according to risk (High/ Medium and low) all high and medium risk businesses have or will receive a telephone call to assess compliance, and are requested to send in information/ evidence to us. Once we have received an update from the Agency and release of lockdown restrictions physical inspections will recommence. It is only once a physical inspection is carried out we can complete the inspection and issue a Food Standards Rating.
- We have seen a large increase in new businesses mainly home caterers, all of them have received contact, support and advice to ensure they are compliant with food safety regulations.
- New premises registered in the last year reached over 90 in Q1. 80% of these have been triaged by telephone prior to assessing risk and then including on our work plan.